

供应商注册及合作诚信管理准则

致尊敬的供应商伙伴：

欢迎成为我集团的合作方！我们始终秉持开放态度，欢迎不同规模、各具专长的企业参与供应链建设，共谋发展。为保障合作公平高效，维护健康诚信的商业环境，特制定本准则。请您仔细阅读以下条款，并严格遵守。

一、 资料提交基本原则与真实性要求

诚信是双方合作的基石。严禁提供任何虚假、伪造或变造的文件与资料，您提交的注册、尽职调查及投标文件（包括但不限于如下所列类别）必须真实、完整、准确、合法、有效，无任何隐瞒、误导、疏漏之处，并确保签字与印鉴真实无误，复印件/扫描件与原件相符且完整。我司将通过交叉核验、第三方平台及现场审计等方式，结合 AI 工具对提交资料进行审查：

- **资质文件：**行政许可文件、行业许可文件、管理体系认证文件等。
- **履约与信誉证明文件：**业绩合同、客户证明、审计报告、财务报表、公司照片、信用记录等。
- **产品/服务证明文件：**产品检测报告、产品认证、产品授权书、产地证明等。
- **人员信息：**员工身份证明、资质证书及社保证明、项目团队资格证书等。
- **其他：**供应商所提供的其他所有信息或资料。

以上资料（包括但不限于）一经查实有篡改、P图、伪造印章/签名、伪造资质、冒用他人业绩/身份/资质等行为（包括但不限于），即触发我集团供应商黑名单管理程序，将导致该供应商列入黑名单。

若某类材料确实无法提供，可提供实事求是的情况说明函，我方将根据项目需要决定是否合作。

二、 黑名单触发情形

除提供虚假、伪造或变造资料外，出现以下行为之一的，经核实后，也将列入我集团供应商黑名单：

- **恶意违约：**排除受国家政策或不可抗力影响的原因，中标人未经协商单方面放弃中标资格或单方面拒绝履行投标承诺，或擅自变更、中止、终止合同的。
- **围标串标：**采用不合法手段竞争或与其他供应商串标、围标、陪标的，或采用不正当手段排挤其它投标人，扰乱市场秩序，破坏公平竞争环境。
- **商业贿赂与不当利益：**向我方工作人员行贿或提供其他不正当利益；通过不正当手段窃取或违规获取采购活动相关不宜公开的敏感信息、商业秘密，破坏公平竞争秩序。
- **提供假冒伪劣产品，造成严重质量或安全问题，包括但不限于：**
 - 提供的产品存在严重质量缺陷，导致生产停工、项目延期或造成重大经济损失的。
 - 多次提供不合格产品，经警告或处理后仍无有效改进的。
 - 提供假冒伪劣、以次充好产品，或篡改产品有效期、产地的。
- **严重影响我集团利益和名誉的行为，包括但不限于：**
 - 泄露我集团商业秘密、技术资料或采购信息的，制造、散播言论、虚假音视频损害我集团商誉的。
- **履约与交付问题，包括但不限于：**
 - 未经我方事先书面同意向第三方分包或转包、转让中标事项的。

无正当理由，严重逾期交货/完工，且严重影响公司运营或项目进度的。

擅自更换合同约定内容（如品牌、型号、材质等）未经公司同意的。

售后服务极其恶劣，多次催促仍不履行保修、维修等合同义务的。

• **其他严重违法违规行为，包括但不限于：**

发生重大安全生产、环境污染事故，且负有主要责任的。

财务状况严重恶化，已进入破产清算程序的。

存在严重违法行为，被政府主管部门处罚或追究刑事责任的。

三、黑名单效力

- **范围：**我集团及所有子公司共享黑名单，同步禁入招标系统，并在 ERP/SRM 等系统及采购供应商库中将状态标记为“禁用”。情节严重者，其关联公司也将被一并列入。
- **期限：**一旦列入，永久不得解禁。

四、特别警示

对于提交的资质及证明文件中存在伪造、变造文件，以及使用虚假印章等行为，相关行为涉嫌违反国家有关法律法规，包括但不限于伪造、变造、买卖国家机关公文、证件、印章及伪造公司、企业、事业单位、人民团体印章等罪名的供应商，我集团将在警方支持下依法立案，展开行政与刑事调查，坚决维护公平、公正的市场环境及公司与股东的合法权益。

如有必要，我集团将依《网上招投标/采购须知》、所签署的合同等文件追究供应商的民事责任，包括终止合同、终止已下达未履行完毕的采购订单、没收投标保证金及/或履约保证金、要求返还已支付的全部款项、无需向供应商支付任何未付款项，并向供应商索赔因上述行为给我司造成的全部经济损失；同时，我集团将依法向有关部门提起追究行政与刑事责任的程序。

黑名单管理是我集团内部管理行为，我方会根据自身经营需求、双方合作表现和风险评估自主决定，暂不予以对社会公开结果。我们号召全体供应商谨记：诚实守信是你我双方合作的基石，请务必严守底线，共建良好合作局面，共创未来！

我司已**仔细阅读**并承诺遵守本准则，了解违反上述条款将导致被永久禁止合作，并将根据法律法规及相关协议（如有）承担法律责任。

Supplier Registration and Cooperation Integrity Management Guidelines


Dear Supplier Partners:

Welcome to register as a collaborative partner of our Group! We always maintain an open attitude, welcoming enterprises of different scales and specialties to participate in the supply chain construction and co-develop. To ensure fair and efficient cooperation and maintain a healthy and trustworthy business environment, we hereby establish the following guidelines. Please read the clauses below carefully and comply with them strictly.

Requirements for Document Authenticity: Strictly prohibit the provision of false materials or any form of forged documents.

Integrity is the cornerstone of our cooperation. It is strictly prohibited to provide any false, forged, or altered documents and materials. The registration, due diligence, and bidding documents you submit (including but not limited to the following categories) must be true, complete, accurate, legal, and valid, with no concealment, misguidance, or omissions. Ensure that signatures and seals are genuine and that copies/scans match the originals and are complete. Our company will review through cross-verification, third-party platforms, and on-site audits, combined with AI tools:

- **Qualification Documents:** Administrative permit documents, industry permit documents, management system certification documents, etc.
- **Performance and Credit Proof Documents:** Performance contracts, customer testimonials, audit reports, financial statements, company photos, credit records, etc.
- **Product Proof Documents:** Product test reports, product certifications, product authorizations, origin certificates, etc.
- **Personnel Information:** Employee identity, qualifications, and social security proof, project team qualification certificates, etc.
- **Others:** All other information or materials provided by the supplier.

 **Special Warning:** If any of the above behaviors (including but not limited to tampering with reports, image editing, forging seals/signatures, forging qualifications, impersonating others' performance/identity/qualifications) are found, it will trigger the APP Group supplier blacklist management procedure, leading to the supplier being placed on the blacklist.

Tip: *If a specific document is genuinely unavailable, you may provide a factual explanatory letter. We will assess the necessity for the project in deciding whether to proceed with cooperation.*

2. Scenarios and Consequences of Blacklist Triggering

In addition to document forgery, any of the following behaviors, upon verification, will also lead to being placed on the blacklist:

- **Malicious Breach of Contract:** Excluding reasons due to national policies or force majeure, the successful bidder unilaterally abandons the winning bid qualification or refuses to fulfill the bidding commitment, or arbitrarily changes, terminates, or ends the contract.
- **Bid Rigging:** Competing through illegal means or colluding with other suppliers to rig bids, or using improper means to exclude other bidders, disrupting market order and undermining fair competition.

- **Commercial Bribery and Improper Benefits:** Bribing our staff or providing other improper benefits; obtaining sensitive information, commercial secrets, or other non-public information related to procurement activities through improper means, disrupting fair competition.
- **Supplying Counterfeit and Substandard Products, causing serious quality or safety issues, including but not limited to:**
 - Providing products with serious quality defects leading to production stoppages, project delays, or significant economic losses.
 - Repeatedly supplying substandard products that remain unimproved after warnings or handling.
 - Providing counterfeit, substandard, or tampered products, or altering product expiration dates or origins.
- **Serious Actions Affecting our Interests and Reputation, including but not limited to:**
 - Leaking our commercial secrets, technical data, or procurement information, or manufacturing and spreading statements, false audio/video that damage our reputation.
- **Performance and Delivery Issues,** including but not limited to:
 - Subcontracting or transferring the winning bid matters to third parties without prior written consent from our Group.
 - Delivering or completing work seriously overdue without justifiable reasons, significantly affecting company operations or project progress.
 - Arbitrarily changing contract content (such as brand, model, material, etc.) without company approval.
 - Providing extremely poor after-sales service, failing to fulfill warranty, repair, and other contractual obligations despite multiple reminders.
- **Other Serious Illegal and Violative Behaviors,** including but not limited to:
 - Occurring major production safety or environmental pollution accidents and bearing primary responsibility.
 - Severe deterioration of financial status, entering bankruptcy liquidation procedures.
 - Committing serious illegal acts and being penalized or held criminally responsible by government authorities.

3. Effectiveness of the Blacklist:

- **Scope:** The blacklist is shared across the our Group and all subsidiaries, and the banned suppliers will be marked as "Prohibited" in the ERP/SRM systems and procurement supplier database. In severe cases, associated companies will also be listed.
- **Duration:** Once listed, the ban is permanent and cannot be lifted.

4. Special Warning:

Suppliers found to have submitted forged or altered qualification and proof documents, or used false seals, may be suspected of violating relevant national laws and regulations, including but not limited to crimes such as forging, altering, buying, or selling official documents, certificates, or seals of state organs, or forging seals of companies, enterprises, institutions, or people's organizations. Our group will, with police support, initiate administrative and criminal investigations in accordance with the law, resolutely safeguarding a fair and just market environment and protecting the legitimate rights and interests of the company and its shareholders.

If necessary, our group will pursue civil liabilities against the supplier in accordance with the Online Bidding/Procurement Instructions, signed contracts, and other relevant documents. This may include contract termination, cancellation of unfilled purchase orders, forfeiture of bid and/or performance bonds, demands for the return of all payments made, withholding of any unpaid amounts, and claims for all economic losses incurred due to the supplier's actions. Simultaneously, our group will initiate administrative and criminal proceedings with relevant authorities in accordance with the law.

- **Our company has carefully read and commits to abide by these guidelines, understanding that violating the above terms will result in permanent prohibition from cooperation with the our Group and will bear legal responsibility according to laws, regulations, and relevant agreements (if any).**

The English version is automatically translated by AI. If there are discrepancies with the Chinese version, the Chinese version shall prevail